Lecture 3

Ambiguity of automata

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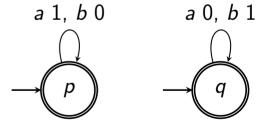
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How many accepting runs are there for each word?

• Maximum of number of a's and number of b's

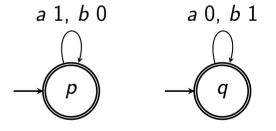


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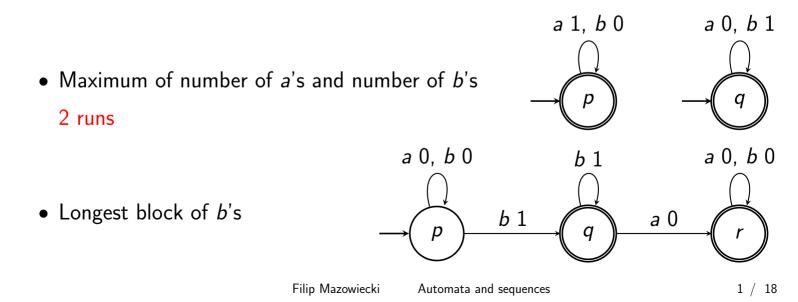
Maximum of number of a's and number of b's
 2 runs



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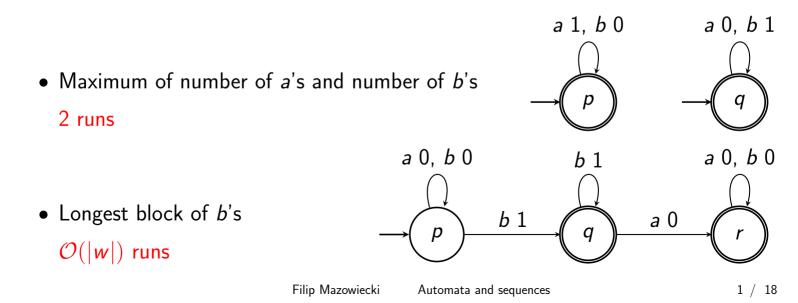
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 Longest block of b's: linearly ambiguous

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 - We will focus mostly on two classes



Definition

An automaton \mathcal{A} is trimmed if for every $q \in Q$ there is an initial state $p \in I$ and a final state $r \in F$ s.t. there is a run from p to q and a run from q to r.

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We write $p \xrightarrow{w} q$ if there is a run from p to q on word w.

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Theorem (Weber, Seidl 1991)

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(2) there are two states $p \neq q \in Q$ and a word w s.t.

$$p \xrightarrow{w} p, \ p \xrightarrow{w} q$$
 and $q \xrightarrow{w} q$

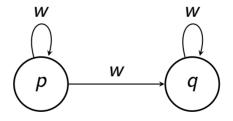
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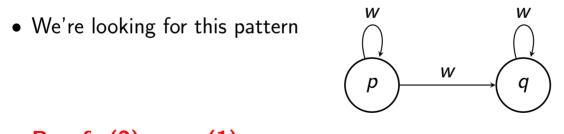
• We're looking for this pattern



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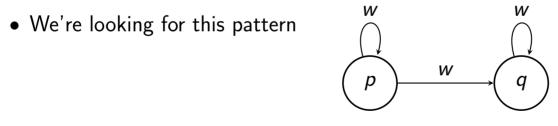


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Proof. (2) \implies (1) There is $a \in I$ and v_a s.t. $a \xrightarrow{v_a} p$ and $b \in F$ and v_b s.t. $q \xrightarrow{v_b} b$ Then $|Acc(v_a w^n v_b)| \ge n - 1$.

• A strongly connected component is $Q_i \subseteq Q$ s.t. for all $p, q \in Q$ there are v_1, v_2 : $p \xrightarrow{v_1} q$ and $q \xrightarrow{v_2} p$

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 $Q = Q_1 \cup Q_2 \ldots \cup Q_m$, where Q_i are strongly connected components. Moreover, if $p \in Q_i$ and $q \in Q_j$ and there is a word w s.t. $p \xrightarrow{w} q$ then $i \leq j$.

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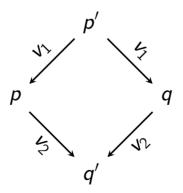
So sets G is a DAG and Q_a can be topologically sorted

• Case one: there is a Q_i , states $p', q' \in Q_i$ and a word v s.t.

there are two different runs $p' \xrightarrow{v} q'$

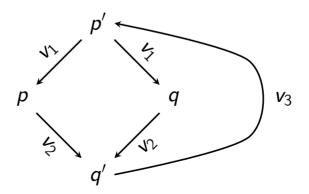
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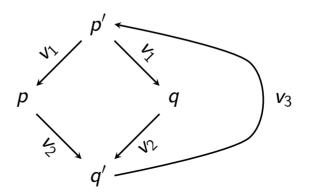
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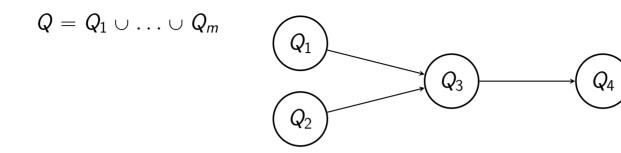


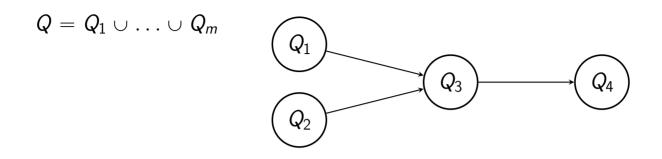
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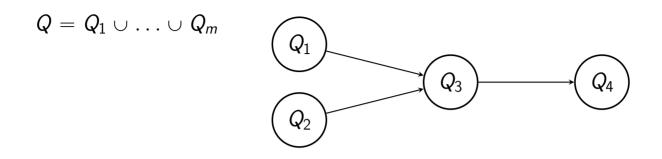
- Let $w = v_2 v_3 v_1$
 - $p \xrightarrow{w} p, p \xrightarrow{w} q, q \xrightarrow{w} q$





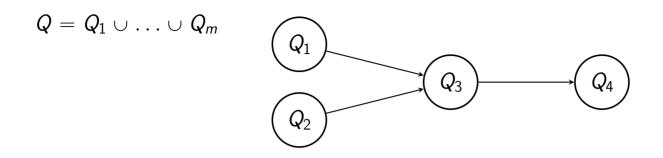


• Notice that every accepting run starts in some Q_i and ends in some Q_j through some other Q_{l_1}, \ldots, Q_{l_s}



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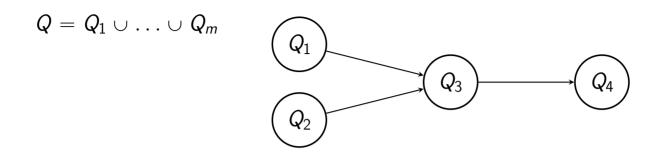
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 We can decompose the sets of accepting runs into M ⊆ {1,..., m} where M = {i₁,..., i_s} and i₁ < i₂ < ... < i_s means that a run starts in Q_{i1} goes through Q_{i2},... Q_{is-1} to Q_{is}



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- Note: the number of such M is bounded by $2^{|Q|}$.

$$Q = Q_1 \cup Q_2 \cup \ldots \cup Q_m$$

When $M = \{i_1, \ldots, i_k\}$ write Q_1, \ldots, Q_k instead of Q_{i_1}, \ldots, Q_{i_k}

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Definition

Let
$$x = x_1 \dots x_s \in \Sigma^*$$
. The graph $G_M(x) = (V, E)$ is defined as
 $V = \{(q, j) \in (Q_1 \cup \dots \cup Q_k) \times \{0, \dots, s\} \mid$
 $\exists q_l \in I \cap Q_1, q_F \in F \cap Q_k : q_l \xrightarrow{x_1 \dots x_j} q, q \xrightarrow{x_{j+1} \dots x_s} q_F\}$
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• Case 2: for all *i* if $p', q' \in Q_i$ then for every *v* at most one run $p' \xrightarrow{v} q'$

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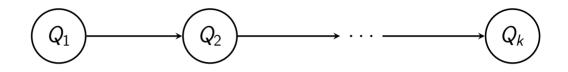
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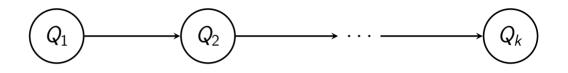
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 $M = \{i_1, \ldots, i_k\}, \quad x = x_1 \ldots x_s, \quad Acc(x) \text{ from } Q_1 \text{ to } Q_k \text{ big}$

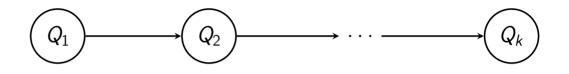


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• for all l = 1, ..., k - 1 let $D_l(x) \subseteq E$ s.t. $(p, j - 1) \rightarrow (q, j) \in D_l(x)$ if $p \in Q_l$ and $q \in Q_{l+1}$

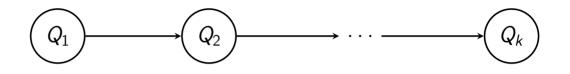
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- Number of accepting runs on x is bounded by

$$|Q_1| \cdot |D_1| \cdot |D_2| \cdot \ldots \cdot |D_{k-1}| \cdot |Q_k|$$

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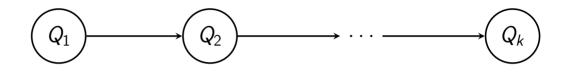


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- So there is I s.t. $|D_I|$ big
- We choose N s.t. $|D_I| > 2^{|Q|}$

 $(p, j-1) \rightarrow (q, j) \in D_l(x) \text{ if } p \in Q_l \text{ and } q \in Q_{l+1}$, $|D_l| > 2^{|Q|}$, $x = x_1 \dots x_s$

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• Let $J \subseteq \{1, ..., s\}$ so $D_{I}(x) = \{(p, j - 1) \rightarrow (q, j) \mid j \in J\}$

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- For every $j \in J$ let $A_j = \{r \mid (r,j) \in V\}$

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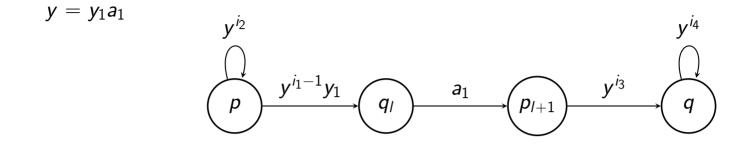
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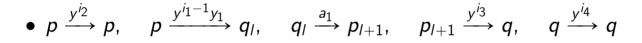
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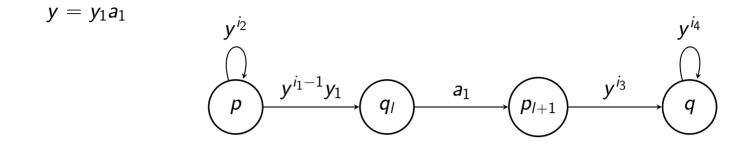
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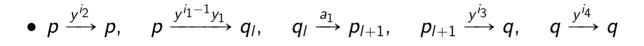
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• Similarly a sequence s_i : $s_0 = p_{l+1}$ and $s_{i-1} \xrightarrow{y} s_i$, so $s_{i_3} = s_{i_3+i_4} = q$

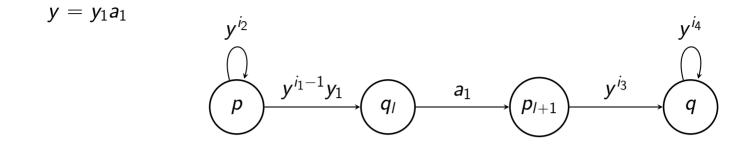








• Given i_1 , i_2 we can choose i_3 and i_4 s.t. $i_1 + i_3 \equiv 0 \mod i_2 \cdot i_4$ let j s.t. $i_1 + i_3 = j(i_2 \cdot i_4)$



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$$p \xrightarrow{y^{i_2}} p$$
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•
$$p \neq q$$
, let $w = y^{j \cdot i_2 \cdot i_4}$

$$p \xrightarrow{w} p, \ p \xrightarrow{w} q, \ q \xrightarrow{w} q$$

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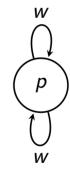
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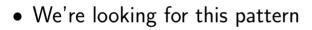
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Proof. (2) \implies (1)

There is $a \in I$ and v_1 s.t. $a \xrightarrow{v_a} p$ and $b \in F$ and v_2 s.t. $p \xrightarrow{v_b} b$

w

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If A is finitely ambiguous then it is k-ambiguous for some k bounded exponentially in |Q|.

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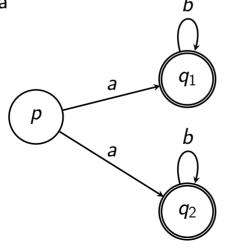
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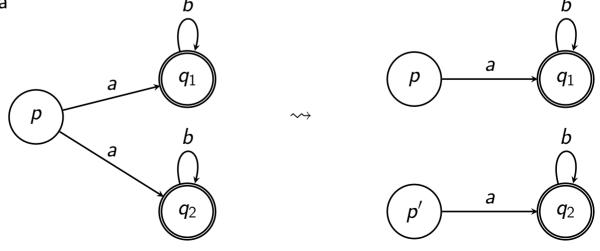
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Filip Mazowiecki

Automata and sequences

Proof (sketch).

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- ${\mathcal B}$ keeps track of all active runs in ${\mathcal A}$

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- Then A × B has the same accepting runs as A but with extra information Accepting states etc are when they are accepting in the A component

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- The final automata are divided into kn unambiguous automata Restricting the accepting states to accepting in A and i ∈ {1,..., kn} in the final component